



**Department of Homeland Security (DHS)
Federal Emergency Management Agency (FEMA)
Grant Programs Directorate (GPD)**

**Hispanic Association of Colleges and Universities' (HACU) 29th
National Conference on Hispanic Higher Education**



**Homeland
Security**

October 11, 2015

Grant Programs Directorate

The purpose of FEMA's Grant Programs Directorate (GPD) is to administer and manage FEMA preparedness grant programs to ensure critical and measurable results for customers and stakeholders.

The focus of GPD is to:

- Enhance the Nation's level of preparedness and the public's ability to prevent, protect, mitigate, respond to and recover from all hazards
- Provide exceptional customer service to all grantees, as well as internal and external partners
- Establish and promote consistent outreach and communication with state, local, territorial and tribal stakeholders
- Ensure transparency in the grant process



Grant Focus Areas

✓ Homeland Security

- Ensures the combined expertise and capabilities of Federal, State, territorial, tribal and local governments, and community-based and private organizations are utilized effectively across all hazards planning and response



✓ Transportation

- Enhances the security and preparedness of the Nation's ports of entry and mass transportation systems to protect the
- traveling public



✓ Fire

- Provides equipment, resources and training to enhance fire departments' and community organizations' ability to protect the public and their staff from fire related hazards and injuries



✓ Preparedness

Supports communication interoperability, information sharing, critical infrastructure hardening, and emergency management resources and personnel



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Preparedness Expenditures

Planning

Assists grantees in developing policies and procedures to coordinate and execute tasks for all hazards management



Exercises

Fosters opportunities to demonstrate, evaluate, and improve the combined capability of the Nation's first responders and local communities



Homeland Security



Equipment

Provides tools and materials to execute missions; without radios, generators, and rescue vehicles, emergency responders lack the means to carry out tasks



Organization

Ensures grantees possess the right resources, leadership, and organizational structure to best protect against major incidents



Training

Prepares the public and first responders to act in a coordinated fashion in a time of emergency

Grant Program Funding Summary

Program	FY 2014	FY 2015	Delta (\$)	Delta (%) (Delta \$ / FY14 Allocation)
State Homeland Security Program (SHSP)	\$401,346,000	\$402,000,000	\$654,000	0.16%
Urban Area Security Initiative (UASI)	\$587,000,000	\$587,000,000	\$0	0%
UASI Nonprofit Security Grant Program (NSGP)	\$13,000,000	\$13,000,000	\$0	0%
Tribal Homeland Security Grant Program (THSGP)	\$10,000,000	\$10,000,000	\$0	0%
Emergency Management Performance Grants (EMPG)	\$350,100,000	\$350,100,000	\$0	0%
Operation Stonegarden (OPSG)	\$55,000,000	\$55,000,000	\$0	0%
Port Security Grant Program (PSGP)	\$100,000,000	\$100,000,000	\$0	0%
Transit Security Grant Program (TSGP)	\$90,000,000	\$87,000,000	\$3,000,000	3.33%
Intercity Passenger Rail (IPR)	\$10,000,000	\$10,000,000	\$0	0%
Intercity Bus Security Grant Program (IBSGP)	\$0	\$3,000,000	\$3,000,000	-----
Assistance to Fire Fighters Grants	\$340,000,000	\$340,000,000	\$0	0%
SAFER	\$340,000,000	\$340,000,000	\$0	0%
Total	\$2,296,446,000	\$2,297,100,000	\$654,000	0.04%



Homeland Security

Major FY 2015 Highlights

- In FY 2015 all grant programs, with exception of the Emergency Management Performance Grant program, have a 36-month period of performance. This compares to the 24-month period of performance that has been in place since FY 2012.
- 28 UASI jurisdictions were funded in FY 2015 based on Congressional “expectation” that limits Urban Area Security Initiative (UASI) funding to 85% of the total risk. This compares to 39 UASI jurisdictions that were funded last year.
- In FY 2015, the Port Security Grant Program eliminated port groupings. All applicants were selected for funding based on a scoring system that considers port area risk and effectiveness of the proposed investment.
- Under the Port Security Grant Program both public and private entities are required to provide the same level of match (25 percent of total project costs).
- The Intercity Bus Security Grant Program was reinstated after a 3-year funding hiatus.



FY 2015 State Homeland Security Program

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: The Homeland Security Grant Program is the primary funding mechanism for building and sustaining national preparedness capabilities. The Homeland Security Grant Program is comprised of three interconnected grant programs: State Homeland Security Program, Urban Area Security Initiative, and Operation Stonegarden. ▪ Eligibility: The State Administrative Agency is the only entity eligible to apply for and administer FY 2015 Homeland Security Grant Program funds. 	<p>\$401,346,000</p>	<p>\$402,000,000</p>
Program Highlights		
<ul style="list-style-type: none"> ▪ The \$402 million allocation is based on funding the Tribal Homeland Security Grant Program at \$10 million and Operation Stonegarden at \$55 million (funding for these programs is carved out of the SHSP allocation). ▪ Allocated funding for the FY 2015 State Homeland Security Program is increased 0.4% compared to FY 2014. ▪ The State and territory minimums were calculated based on funding numbers for all appropriated dollars under the Homeland Security Act of 2002, as amended (State Homeland Security Program, Urban Area Security Initiative, Operation Stonegarden, Tribal Homeland Security Grant Program, Non-profit Security Grant Program). ▪ Three-year period of performance applies. 		



FY 2015 Urban Area Security Initiative

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: The Homeland Security Grant Program is the primary funding mechanism for building and sustaining national preparedness capabilities. The Homeland Security Grant Program is comprised of three interconnected grant programs: State Homeland Security Program, Urban Area Security Initiative, and Operation Stonegarden. ▪ Eligibility: The State Administrative Agency is the only entity eligible to apply for and administer FY 2015 Homeland Security Grant Program funds. 	<p>\$587,000,000</p>	<p>\$587,000,000</p>
Program Highlights		
<ul style="list-style-type: none"> ▪ The explanatory statement accompanying the FY 2015 DHS appropriations bill limits Urban Area Security Initiative funding to urban areas representing 85% of nationwide risk. <ul style="list-style-type: none"> ▪ “Consistent with the 9/11 Act, FEMA shall conduct risk assessments for the 100 most populous metropolitan areas prior to making Urban Area Security Initiative (UASI) grant awards. Because most of the cumulative national terrorism risk to urban areas is focused on a relatively small number of cities, it is expected that UASI funding will be limited to urban areas representing up to 85 percent of such risk and that resources will continue to be allocated in proportion to risk.” ▪ The 28 urban areas identified for FY 2015 funding represent 85% of nationwide risk according to the DHS risk formula. 39 were funded last year. ▪ Three year period of performance applies. 		



FY 2015 Emergency Management Performance Grant Program

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: The Emergency Management Performance Grant program provides Federal funds to assist state, local, territorial, and tribal governments in preparing for all hazards. The Federal government, through Emergency Management Performance Grant, provide necessary direction, coordination, guidance, and assistance to support a comprehensive all hazards emergency preparedness system ▪ Eligibility: State government’s State Administrative Agency or Emergency Management Agency are the only entities eligible to apply for and administer FY 2015 Emergency Management Performance Grant funds 	\$350,100,000	\$350,100,000
Program Highlights		
<ul style="list-style-type: none"> ▪ There are no proposed changes to eligibility or program priorities. ▪ The FY 2015 Emergency Management Performance Grant funding amount remained the same as FY 2014. ▪ The Emergency Management Performance Grant program will maintain a 2 year period of performance. 		



FY 2015 Operation Stonegarden

Program Overview	FY 2014	FY 2015						
<ul style="list-style-type: none"> ▪ Purpose: Operation Stonegarden is intended to enhance cooperation and coordination among Federal, State, territorial, tribal, and local law enforcement agencies in a joint mission to secure the United States borders along routes of ingress from international borders to include travel corridors in States bordering Mexico and Canada, as well as States and territories with international water borders ▪ Eligibility: Eligible applicants include local units of government at the county level and federally-recognized tribal governments in the States bordering Canada (including Alaska), southern States bordering Mexico, and States and territories with international water borders 	\$55,000,000	\$55,000,000						
Program Highlights								
<ul style="list-style-type: none"> ▪ There are no proposed changes to eligibility or program priorities. ▪ Three year period of performance applies. <p><u>FY 2014 Information</u></p> <ul style="list-style-type: none"> ▪ 21 States applied for funding in FY 2014 ▪ Distribution of FY 2014 allocations – 86.4% to the Southwest, 9.8% to the Northern, and 3.8% to the Coastal Border Regions ▪ Total number of Counties funded in: <table style="margin-left: 40px; border: none;"> <tr> <td style="padding: 2px 10px;">FY 2014: 140</td> <td style="padding: 2px 10px;">FY 2011: 111</td> </tr> <tr> <td style="padding: 2px 10px;">FY 2013: 126</td> <td style="padding: 2px 10px;">FY 2010: 102</td> </tr> <tr> <td style="padding: 2px 10px;">FY 2012: 121</td> <td></td> </tr> </table> 			FY 2014: 140	FY 2011: 111	FY 2013: 126	FY 2010: 102	FY 2012: 121	
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FY 2013: 126	FY 2010: 102							
FY 2012: 121								

FY 2015 Operation Stonegarden

▪ **Eligible Applicants:**

- Same as FY 2014
- Local units of government at the county level or equivalent and Federally-recognized tribal governments in the 39 States and territories bordering Canada, southern States bordering Mexico, and States and territories with international water borders.

States and Territories		
Alabama	Massachusetts	Pennsylvania
Alaska	Michigan	Rhode Island
Arizona	Minnesota	South Carolina
California	Mississippi	Texas
Connecticut	Montana	Vermont
Delaware	New Hampshire	Virginia
Florida	New Jersey	Washington
Georgia	New Mexico	Wisconsin
Hawaii	New York	Puerto Rico
Idaho	North Carolina	U.S. Virgin Islands
Louisiana	North Dakota	American Samoa
Maine	Ohio	Guam
Maryland	Oregon	Northern Mariana Islands



FY 2015 Tribal Homeland Security Grant Program

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: Tribal Homeland Security Grant Program provides supplemental funding to directly eligible Tribes to help strengthen the Nation against risks associated with potential terrorist attacks ▪ Eligibility: The Tribal Homeland Security Grant Program provides funding to directly eligible Tribes, as determined by the Homeland Security Act of 2002, as amended 	\$10,000,000	\$10,000,000
Program Highlights		
<ul style="list-style-type: none"> ▪ FY 2015 Tribal award recipients will be required to submit an updated Threat and Hazard Identification and Risk Assessment (THIRA) if they received an award in FY14 or complete a new THIRA if they did not receive an award in FY14. Threat and Hazard Identification and Risk Assessments are not required to be submitted as part of the application process. ▪ Three year period of performance applies. 		
<p><u>FY 2014 Information</u></p>		
<ul style="list-style-type: none"> ▪ 55 Tribes submitted Tribal Homeland Security Grant Program applications (98 Investments) requesting \$21,535,678 in funding. All 55 Tribes were deemed eligible for funding and forwarded to peer review. ▪ Of the 55 Tribes, 29 (44 Investments) received funding: <ul style="list-style-type: none"> ▪ 6 Tribes received an award in FY14 for the first time since the Tribal Homeland Security Grant Program's inception in FY 2008. ▪ The average allocation was \$227,273. 		



FY 2015 Nonprofit Security Grant Program

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: The Non-Profit Security Grant Program provides funding support for target hardening activities to nonprofit organizations that are at high risk of terrorist attack and located within one of the 28 UASI-eligible urban areas ▪ Eligibility: The State Administrative Agency is the only entity eligible to apply for FY 2015 Non-Profit Security Grant Program funds on behalf of nonprofit organizations as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code 	\$13,000,000	\$13,000,000
Program Highlights		
<ul style="list-style-type: none"> ▪ There are no proposed changes to eligibility or program priorities ▪ The \$13 million minimum allocation amount for FY 2015 NSGP is the same as last year ▪ Three-year period of performance applies. <p><u>FY 2014 Information</u></p> <ul style="list-style-type: none"> ▪ A total of 687 IJs were submitted to FEMA requesting over \$47.8 million in Federal funding <ul style="list-style-type: none"> ▪ 100% (24 out of 24) of eligible States applied ▪ 100% (39 out of the 39) of eligible UASI urban areas applied ▪ At least one application from each submitting urban area advanced to the Federal review <ul style="list-style-type: none"> ▪ A total of 279 applications advanced to the Federal review requesting over \$19.7 million in Federal funding ▪ 182 applications were funded under FY 2014 Non-Profit Security Grant Program 		



FY 2015 Port Security Grant Program

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: Port Security Grant Program provides funds for transportation infrastructure security activities to implement Area Maritime Transportation Security Plans and facility security plans among port authorities, facility operators, and State and local government agencies required to provide port security services ▪ Eligibility: Applicants will be selected for funding through a fully competitive review process 	\$100,000,000	\$100,000,000
Program Highlights		
<ul style="list-style-type: none"> ▪ Port area groupings are eliminated for FY 2015. <ul style="list-style-type: none"> • This approach will improve the risk-based competitive review process • Funding recommendations will be based on a scoring system that analyzes both project effectiveness and port risk ▪ For FY 2015, no distinction will be made between public and private sector applicants for purposes of the amount of non-Federal funds a recipient must match. ▪ Three-year period of performance applies. <p><u>FY 2014 Information</u></p> <ul style="list-style-type: none"> ▪ A total of 514 applications (840 investments) were submitted to FEMA requesting over \$262 million in Federal funding. Of the 840 investments reviewed 482 were funded (409 fully funded; 73 partially funded). 		



FY 2015 Transit Security Grant Program

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: Transit Security Grant Program provides funding to owners and operators of transit systems to protect critical surface transportation infrastructure and the traveling public from acts of terrorism, major disasters, and other emergencies ▪ Eligibility: Eligible transit agencies are determined based on daily unlinked passenger trips (ridership) and transit systems that serve historically eligible Urban Area Security Initiative jurisdictions 	\$90,000,000	\$87,000,000
Program Highlights		
<ul style="list-style-type: none"> ▪ There are no proposed changes to eligibility or program priorities. ▪ The FY 2015 TSGP funding amount represents a 3.3% decrease compared to FY 2014. The reduction in funding is due to the \$3 million carve-out for the FY 2015 Intercity Bus Security Grant Program. ▪ Three-year period of performance will apply. 		
<p><u>FY 2014 Information</u></p>		
<ul style="list-style-type: none"> ▪ In FY 2014, 58 applications (172 projects) were received requesting \$275,909,639 in funding. Of this, 36 applications (94 projects) were funded totaling \$90,000,000. 		

FY 2015 Intercity Passenger Rail - Amtrak

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: The Intercity Passenger Rail Program protects critical surface transportation infrastructure and the traveling public from acts of terrorism, major disasters, and other emergencies within the Amtrak rail system. ▪ Eligibility: The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under the FY 2015 Intercity Passenger Rail program. 	\$10,000,000	\$10,000,000
Program Highlights		
<ul style="list-style-type: none"> ▪ There are no significant changes being proposed to the FY 2015 Intercity Passenger Rail program ▪ The \$10 million minimum allocation for FY2015 is consistent with last year ▪ Three-year period of performance applies. <p><u>FY 2014 Information</u></p> <ul style="list-style-type: none"> ▪ Five projects were reviewed and approved for FY 2014: <ul style="list-style-type: none"> ▪ FY2014 Intercity Passenger Rail Operational Packages and Equipment: \$4,400,000 ▪ RAILS SAFE training program: \$400,000 ▪ Video Security Systems/Access Control/Infrastructure Protection:\$3,395,000 ▪ EAP/COOP Planning: \$425,000 ▪ Amtrak IPAWS Integration / Public Awareness: \$485,000 		



FY 2015 Intercity Bus Security Grant Program

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> ▪ Purpose: The Intercity Bus Security Grant Program creates a sustainable program for the protection of intercity bus systems and the traveling public from terrorism. ▪ Eligibility: Private operators providing transportation using over the road buses that have completed a vulnerability assessment and developed a security plan as required by Section 1531 of the 9/11 Act (6 U.S.C. § 1181). Private operators must either provide transportation services to a defined Urban Area Security Initiative jurisdiction or operate a charter bus service using over the road buses and provide a minimum of 50 trips annually to one or more defined Urban Area Security Initiative jurisdictions. 	\$0	\$3,000,000
Program Highlights		
<ul style="list-style-type: none"> ▪ The FY 2015 Intercity Bus Security Grant program is allocated \$3 million following a four year funding hiatus (FY11). ▪ Funding will be awarded to the highest-risk systems through a competitive review process. ▪ Three-year period of performance applies. 		



FY 2015 Assistance to Fire Fighters Grant Program (AFG)

Program Overview	FY 2014	FY 2015
<ul style="list-style-type: none"> Purpose: To provide grants directly to fire departments and nonaffiliated emergency medical services (EMS) organizations to enhance their ability to protect the health and safety of the public, as well as that of first-responder personnel, with respect to fire and fire-related hazards. The AFG is comprised of three interconnected grant programs: AFG, Fire Prevention and Safety (FP&S), and Staffing for Adequate Fire and Emergency Response (SAFER). Eligibility: AFG: Nonprofit fire departments and nonaffiliated EMS organizations, and other national special interest organizations. FP&S: Nonprofit organizations, including fire departments; national, regional, state, and local organizations; and organizations recognized for their experience and expertise in firefighter safety, health, and wellness research and development activities. SAFER: Nonprofit fire departments and statewide or local volunteer firefighter associations 	<p>AFG: \$340,000,000 (includes FP&S: \$34,000,000)</p> <p>SAFER: \$340,000,000</p>	<p>AFG: \$340,000,000 (includes FP&S: \$34,000,000)</p> <p>SAFER: \$340,000,000</p>



Authorized Equipment List (AEL)

- The Authorized Equipment List (AEL) is published by the FEMA Grant Programs Directorate.
- The AEL serves as a guide for grant recipients, but is not all-encompassing and often grantee requests must be reviewed on a case-by-case basis.
- The Authorized Equipment List (AEL) was developed, in part, based on congressional intent to build anti-terrorism capabilities.
- Dual use permitted as long as items build anti-terrorism capabilities.
- Updated periodically to include additional equipment items at request of stakeholders.
- Weapons and ammunition have always been prohibited.

Allowable Equipment Categories

- Grantees may purchase first responder equipment in the following categories (number of categories has increased every year):
 - Personal Protective Equipment (PPE).
 - Detection Equipment
 - Decontamination Equipment
 - Interoperable Communications Equipment
 - Terrorism Incident Prevention Equipment
 - Explosive Device Mitigation and Remediation Equipment
 - Physical Security Enhancement Equipment
 - Medical Supplies/Pharmaceuticals
 - CBRNE Logistical Support Equipment
 - CBRNE Incident Response Vehicles/Aircraft/Watercraft
 - CBRNE Search & Rescue Equipment
 - CBRNE Reference Materials
 - Agricultural Terrorism Prevention, Response & Mitigation
 - Cyber Security
 - Intervention Equipment
 - Citizen Corps specific equipment



White House Law Enforcement Equipment Working Group



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LE Equipment Working Group

- Outgrowth of perceived “militarization” of law enforcement agencies
- Intended to achieve better coordination of requirements for equipment provided or funded by Federal agencies
- DHS-FEMA and DOJ grant programs, DOD 1033 program
- Recommendations Pursuant to Executive Order 13688 - Federal Support for Local Law Enforcement Equipment Acquisition issued on May 18



Prohibited Equipment

- Tracked Armored Vehicles
- Weaponized Aircraft, Vessels, and Vehicles
- Firearms and ammo of .50-Caliber or Higher
- Grenade Launchers
- Bayonets
- **Camouflage Uniforms – urban camo prohibited, woodland and desert camo & solid color uniforms OK**
 - Camo uniforms are the only prohibited item previously allowable under FEMA grant programs

Controlled Equipment – Currently Allowed under FEMA grants

- Manned Aircraft, Fixed Wing
- Manned Aircraft, Rotary Wing
- Unmanned Aerial Vehicles
- Armored Vehicles, Wheeled
- Tactical Vehicles, Wheeled
- Command and Control Vehicles
- Explosives and Pyrotechnics
- Breaching Apparatus (e.g. battering ram or similar entry device)

Controlled Equipment – Not Allowed Under FEMA Grants

- Specialized Firearms and Ammunition Under .50-Caliber (excludes firearms and ammunition for service-issued weapons)
- Riot Batons (excluding service-issued telescopic or fixed-length straight batons)
- Riot Helmets
- Riot Shields

Controls

- Apply to law enforcement agencies and entities purchasing for LEAs
- Prior approval from FEMA required:
 - Certification of LEA policies, procedures, training and after action reporting
 - Detailed justification required
 - Civilian governing body approval required
 - Regional sharing arrangements – all partners must comply
- Restrictions on transfer, sale, return and disposition of controlled equipment over and above current federal regulations (44 CFR Part 13 & 2 CFR Part 200)

Way Forward

- Information Bulletin with immediate effect
- Incorporation into the FY 2016 Notices of Funding Opportunity (NOFOs)
- Revisions to AEL grant notes

Looking Ahead to FY 2016

- Federal Government on a Continuing Resolution through December 11, 2015;
- Limited Agency Spending;
- No New Programs, i.e. Grants, until a regular appropriation is signed;
- Funding levels for grants expected to remain stable;
- 2 C.F.R. Part 200. An OMB reform of regulations that apply to **Federal financial assistance** that streamlines the language from **eight existing OMB circulars into one consolidated set of guidance** in the Code of Federal Regulations– informally referred to as the “Super Circular.” Applies to all grant awards made after December 26, 2014.



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General Grant Writing Guidance

- Develop a relationship with your State Administrative Agency (SAA) so that they understand who you are and what your needs/capabilities are;
- Read the Notice of Funding Opportunity (NOFO) so that you can understand all the ins and outs of the grant;
- Write clear, concise, scalable investments;
- Always have some quickly executable projects ready in case you receive grant funding with a short Period of Performance (POP).



Resources

- Preparedness Grants Webpage
 - <http://www.fema.gov/grants>
- State Administrative Agency (SAA) Contact List
 - <http://www.fema.gov/media-library/assets/documents/28689>
- Authorized Equipment List (AEL)
 - <http://beta.fema.gov/authorized-equipment-list>



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